UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION

In re ALPHABET, INC. SECURITIES LITIGATION)

Master File No. 3:18-cv-06245-TLT

CLASS ACTION

This Document Relates To:

ALL ACTIONS.

PROOF OF CLAIM AND RELEASE

I. GENERAL INSTRUCTIONS

1. To recover as a member of the Settlement Class based on your claims in the action entitled *In re Alphabet, Inc. Securities Litigation*, No. 3:18-cv-06245-TLT (the "Action"), you must complete and, on page 7 hereof, sign this Proof of Claim and Release ("Proof of Claim" or "Claim Form"). If you fail to submit a properly addressed (as set forth in paragraph 3 below) Claim Form, postmarked or received by the date shown below, your claim may be rejected and you may be precluded from any recovery from the Net Settlement Fund created in connection with the proposed settlement of the Action (the "Settlement").¹

2. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement.

3. YOU MUST MAIL OR SUBMIT ONLINE YOUR COMPLETED AND SIGNED CLAIM FORM, ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, NO LATER THAN JULY 25, 2024, TO THE COURT-APPOINTED CLAIMS ADMINISTRATOR IN THIS CASE, AT THE FOLLOWING ADDRESS:

> Alphabet Securities Settlement Claims Administrator c/o Gilardi & Co. LLC P.O. Box 301175 Los Angeles, CA 90030-1175 Online Submissions: www.AlphabetSecuritiesSettlement.com

Do not mail or deliver your Claim Form to the Court, the Parties to the Action, or their counsel. Submit your Claim Form only to the Claims Administrator at the address set forth above. If you are NOT a member of the Settlement Class (as defined below and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice")), DO NOT submit a Claim Form.

4. If you are a member of the Settlement Class and you do not request exclusion, you will be bound by the terms of any judgment entered in the Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A CLAIM FORM.

5. It is important that you completely read and understand the Notice that is available at www.AlphabetSecuritiesSettlement.com, including the Plan of Allocation of the Net Settlement Fund set forth in the Notice. The Notice describes the proposed Settlement, how Settlement Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved by the Court. The Notice (as well as the Stipulation) also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form.

II. CLAIMANT IDENTIFICATION

You are a member of the Settlement Class if you purchased or otherwise acquired Alphabet, Inc. ("Alphabet") Class A and/or Class C stock during the period from April 23, 2018, through April 30, 2019, inclusive (the "Settlement Class Period"). Excluded from the Settlement Class are Defendants and their families, the officers, directors, and affiliates of Defendants, at all relevant times, members of their immediate families and their legal representatives, heirs, successors, or assigns, and any entity in which Defendants have or had a controlling interest. Also excluded from the Settlement Class is any Person who would otherwise be a member of the Settlement Class but who validly and timely requests exclusion in accordance with the requirements set by the Court.

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This Claim Form incorporates by reference the definitions in the Stipulation of Settlement ("Stipulation"), which can be obtained at www.AlphabetSecuritiesSettlement.com.

Use Part I of this Claim Form entitled "Claimant Identification" to identify each purchaser or acquirer of record ("nominee"), if different from the beneficial purchaser or acquirer of the Alphabet Class A or Class C stock which forms the basis of this claim. THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL PURCHASER(S) OR ACQUIRER(S) OR THE LEGAL REPRESENTATIVE OF SUCH PURCHASER(S) OR ACQUIRER(S) OF THE ALPHABET CLASS A OR CLASS C STOCK UPON WHICH THIS CLAIM IS BASED.

All joint purchasers or acquirers must sign this Claim Form. Executors, administrators, guardians, conservators, and trustees must complete and sign this Claim Form on behalf of persons represented by them and their authority must accompany this Claim Form and their titles or capacities must be stated. The last four digits of the Social Security Number (or full Taxpayer Identification Number) and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

If you are acting in a representative capacity on behalf of a Settlement Class Member (for example, as an executor, administrator, trustee, or other representative), you must submit evidence of your current authority to act on behalf of that Settlement Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.

One Proof of Claim should be submitted for each separate legal entity. Separate Proofs of Claim should be submitted for each separate legal entity (*e.g.*, a claim from joint owners should not include separate transactions of just one of the joint owners, and an individual should not combine his or her IRA transactions with transactions made solely in the individual's name). Conversely, a single Proof of Claim should be submitted on behalf of one legal entity, including all transactions made by that entity on one Proof of Claim, no matter how many separate accounts that entity has (*e.g.*, a corporation with multiple brokerage accounts should include all transactions made in all accounts on one Proof of Claim).

NOTICE REGARDING ELECTRONIC FILES: Certain Claimants with large numbers of transactions may request to, or may be requested to, submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim listing all their transactions whether or not they also submit electronic copies. If you wish to file your Proof of Claim electronically, you must contact the Claims Administrator at edata@gilardi.com to obtain the mandatory file layout. Any file not in accordance with the required electronic filing format will be subject to rejection. Only one Proof of Claim should be submitted for each legal entity (see above) and the *complete* name of the beneficial owner(s) of the securities must be entered where called for. Distribution payments must be made by check or electronic payment payable to the Authorized Claimant (beneficial account owner). The Third-Party Filer shall not be the payee of any distribution payment check or electronic distribution payment. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgement of receipt and acceptance of electronically submitted data. Do not assume that your file has been received until you receive this notification. If you do not receive such an email within 10 days of your submission you should contact the electronic filing department at edata@gilardi.com to inquire about your file and confirm it was received.

III. PROOF OF CLAIM

Use Part II of this form entitled "Schedule of Transactions in Alphabet Class A Stock," and Part III of this form entitled "Schedule of Transactions in Alphabet Class C Stock" to supply all required details of your transaction(s) in Alphabet Class A and/or Class C stock. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

On the schedules, provide all of the requested information with respect to **all** of your holdings, purchases or acquisitions and **all** of your sales of Alphabet Class A and/or Class C stock, whether such transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

List these transactions separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

For short-sale transactions, the date of covering a "short sale" is deemed to be the date of purchase of Alphabet stock, and the date of a "short sale" is deemed to be the date of sale of Alphabet stock.

For each transaction, you must provide, together with this Proof of Claim, copies of stockbroker confirmation slips, stockbroker statements, or other documents adequately evidencing your transactions in Alphabet Class A and/or Class C stock. If any such documents are not in your possession, please obtain a copy or equivalent documents from your broker because these documents are necessary to prove and process your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. **THE PARTIES DO NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS IN ALPHABET STOCK.**

PLEASE NOTE: As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her, or its *pro rata* share of the Net Settlement Fund. If the prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

Official Official Must Be Postmarked (if Magor Received (if Submitted No Later Than July 25, 202 Office UNITED STATES DISTRICT COURT No Later Than July 25, 202 Use NORTHERN DISTRICT OF CALIFORNIA ALPA Only In re Alphabet, Inc. Securities Litigation ALPA Master File No. 3:18-cv-06245-TLT Please Type or Print in the Boxes PROOF OF CLAIM AND RELEASE FORM Must be Postmarked (if Magor Received (if Submitted No Later Than July 25, 202	Dnline) 4 Below
REMEMBER TO ATTACH COPIES OF BROKER CONFIRMATIONS OR OTHER DOCUMENTATION OF YOUR TRANSACTION ALPHABET CLASS A AND/OR CLASS C STOCK. FAILURE TO PROVIDE THIS DOCUMENTATION COULD	

VERIFICATION OF YOUR CLAIM OR RESULT IN REJECTION OF YOUR CLAIM.

PART I. CLAIMANT IDENTIFICATION								
Last Name	M.I. First Name							
Last Name (Co-Beneficial Owner)	M.I. First Name (Co-Beneficial Owner)							
IRA Joint Tenancy Employee	Individual Other							
Company Name (Beneficial Owner - If Claimant is not an Individ	idual) or Custodian Name if an IRA (specify)							
Trustee/Asset Manager/Nominee/Record Owner's Name (If Diffe	ferent from Beneficial Owner Listed Above)							
Account#/Fund# (Not Necessary for Individual Filers)								
Last Four Digits of Social Security Number Taxpayer Iden	entification Number							
or —								
Telephone Number (Primary Daytime) Telephone	Telephone Number (Alternate)							
Email Address								

MAILING INFORMATION									
Address									
Address (cont.)									
City				Stat	e Z	IP Code			
Foreign Province		Foreign	Postal Co	de		Foreign (Country Na	ame/Abbre	viation
FOR CLAIMS PROCESSING OB CB	ATP KE	BE DR	FL ME	OP RE			$I \vee V$	VV	FOR CLAIMS PROCESSING
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			3						

PART II. SCHEDULE OF TRANSACTIONS IN ALPHABET CLASS A STOCK Proof Enclosed? A. Number of shares of Alphabet Class A stock held at the close of Υ N trading on April 22, 2018. If none, write "0" or "zero." B. Purchases or acquisitions of Alphabet Class A stock between April 23, 2018 and July 26, 2019, inclusive:² - PURCHASES Total Purchase or Proof of Acquisition Price Trade Date(s) Number of Shares Purchase (Excluding commissions, Enclosed? (List Chronologically) Purchased or Acquired taxes and fees) Υ Y M M D D Υ Υ Y 1. \$ 00 Ν Y 2. \$ 00 N Υ 3. \$ 00 Ν Y \$ 00 4. Ν Y 5. \$ 00 Ν IMPORTANT: (i) If any purchase listed covered a "short sale," please mark Yes: Yes (ii) If you received shares through an acquisition or merger, please identify the date, the share amount and the company acquired: M M Y Y Υ Merger Shares: D D Y Company: C. Sales of Alphabet Class A stock between April 23, 2018 and July 26, 2019, inclusive: SALES **Total Sales Price** (Excluding commissions, taxes and fees) Proof of Trade Date(s) Number of Please round off to the Sales (List Chronologically) Shares Sold nearest whole dollar Enclosed? Μ Μ D D Y YYY Y \$ 00 1. Ν Y \$ 2. . 00 Ν Y 3. \$ 00 Ν Y \$ 4. 00 Ν Y \$ 00 5. Ν D. Number of shares of Alphabet Class A stock held Proof Enclosed? Υ N at the close of trading on April 30, 2019: E. Number of shares of Alphabet Class A stock held Proof Enclosed? Υ Ν at the close of trading on July 26, 2019: If you require additional space, attach extra schedules in the same format as above. Sign and print your name on each additional page.

YOU MUST READ AND SIGN THE RELEASE ON PAGE 7. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

Information requested about your purchases or acquisitions on May 1, 2019, through and including the close of trading on July 26, 2019, is needed only in order for the Claims Administrator to confirm that you have reported all relevant transactions. Purchases or acquisitions during this period are not eligible for a recovery because they were made outside the Settlement Class Period.



PART III. SCHEDULE OF TRANSACTIONS IN ALPHABET CLASS C STOCK

A. Number of shares of Alphabet Class C stock held at the close of trading on April 22, 2018. If none, write "0" or "zero."

Proof Enclosed? Y N

Company:

B. Purchases or acquisitions of Alphabet Class C stock between April 23, 2018 and July 26, 2019, inclusive:

	Trade (List Chr	e Date(s) onologica	lly)		er of Shares ed or Acquired	Acquis (Excluding	urchase or sition Price commissions, and fees)	Pur	oof of chase losed?
M 1.	м D	D Y	ΥΥ	Y		\$. 00	OY ON
2.	/	/				\$		00	O Y O N
3.	/	/				\$		00	O Y N
4.	/	/				\$		00	O Y N
5.	/	/				\$		00	O Y O N

IMPORTANT: (i) If any purchase listed covered a "short sale," please mark Yes: \bigcirc Yes

(ii) If you received shares through an acquisition or merger, please identify the date, the share amount and the company acquired:

M M	D	D	`	Y	Y	Υ	Υ		Mei	rger	Sha	res:	

C. Sales of Alphabet Class C stock between April 23, 2018 and July 26, 2019, inclusive:

	- SALÉS													
Trade Date(s) Nun (List Chronologically) Shar									(Exclu ta Pleas	otal Sale Iding co axes an se roun rest wh	mmissi d fees) d off to	ions, the	Sa	oof of ales osed?
	M M D	D Y	ΥY	Y										
1.	/	/						\$					00	O Y N
2.	/	/						\$					00	O Y O N
3.	/	/						\$					00	O Y O N
4.	/	/						\$					00	O Y O N
5.	/	/						\$					00	O Y O N
D. Number of shares of Alphabet Class C stock held at the close of trading on April 30, 2019:													Enclos Y	sed? N
E.	E. Number of shares of Alphabet Class C stock held at the close of trading on July 26, 2019:												 Enclos Y	sed? N

If you require additional space, attach extra schedules in the same format as above. Sign and print your name on each additional page.

YOU MUST READ AND SIGN THE RELEASE ON PAGE 7. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.



IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

I (We) submit this Proof of Claim under the terms of the Stipulation described in the Notice. I (We) also submit to the jurisdiction of the United States District Court for the Northern District of California with respect to my (our) claim as a Settlement Class Member and for purposes of enforcing the releases set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of the Stipulation and any judgment that may be entered in the Action, including the releases and the covenants set forth herein. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim covering the same purchases, acquisitions, or sales of Alphabet Class A and/or Class C stock during the Settlement Class Period and know of no other Person having done so on my (our) behalf.

V. RELEASES

1. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever waive, compromise, settle, discharge, extinguish and release from the "Released Claims" (as defined below") each and all of the "Released Defendant Parties" (as defined below).

2. "Released Claims" means any and all claims and causes of action of every nature and description, whether known or unknown, asserted or unasserted, accrued or unaccrued, fixed or contingent, liquidated or unliquidated, whether arising under federal, state, local, common or foreign law, or any other law, rule or regulation, whether class or individual in nature, based on, arising out of, or in connection with both: (i) the purchase or acquisition of Alphabet Class A and/or Class C common stock during the period from April 23, 2018 through April 30, 2019, inclusive, and (ii) the allegations, acts, facts, matters, occurrences, disclosures, filings, representations, statements, or omissions that were or could have been alleged by Lead Plaintiff and other members of the Settlement Class in the Action. The definition of Released Claims includes, but is not limited to, claims arising out of Alphabet's results in the fourth quarter of 2018 or the first quarter of 2019. Notwithstanding the foregoing, "Released Claims" does not include claims relating to the enforcement of the Settlement.

3. "Released Defendant Parties" means each and all of the Defendants, and each and all of their Related Persons.

4. "Released Defendants' Claims" means all claims and causes of action of every nature and description, including both known claims and Unknown Claims (as defined below), whether arising under federal, state, common or foreign law, or any other law, that Defendants could have asserted against any of the "Released Plaintiff Parties" (as defined below), including Lead Counsel and Settlement Class Members, that arise out of or relate in any way to the institution, prosecution, or settlement of the claims in the Action, except for claims relating to the enforcement of the Settlement.

5. "Released Parties" means the Released Defendant Parties and the Released Plaintiff Parties.

6. "Released Plaintiff Parties" means the Lead Plaintiff, each and every Settlement Class Member, Lead Counsel, and each of their respective past or present trustees, officers, directors, partners, employees, contractors, auditors, principals, agents, attorneys, predecessors, successors, assigns, insurers, parents, subsidiaries, general or limited partners or partnerships, and limited liability companies; and the spouses, members of the immediate families, representatives, and heirs of any Released Plaintiff Party who is an individual, as well as any trust of which any Released Plaintiff Party is the settlor or which is for the benefit of any of their immediate family members. Released Plaintiff Parties does not include any Person who timely and validly seeks exclusion from the Settlement Class.

7. "Unknown Claims" means any and all Released Claims of every nature and description against the Released Defendant Parties that Lead Plaintiff or any other Settlement Class Member does not know or suspect to exist in his, her, or its favor at the time of the release of the Released Defendant Parties, and any and all Released Defendants' Claims of every nature and description against the Released Plaintiff Parties that any Defendant does not know or suspect to exist in his, her or its favor at the time of the release of the Released Defendants' Claims, and including, without limitation, those that, if known by him, her or it, might have affected his, her or its decision to enter into this Settlement, execute the Stipulation, and agree to all the various releases set forth herein, or might have affected his, her or its decision not to object to this Settlement or not exclude itself, herself or himself from the Settlement Class. Unknown Claims include, without limitation, those claims in which some or all of the facts composing the claim may be unsuspected, undisclosed, concealed, or hidden. With respect to any and all Released Claims and Released Defendants' Claims, the Released Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff and Settlement Class Members (as regards the Released Claims) and the Defendants (as regards the Released Defendants' Claims) shall expressly waive and relinquish, and each Settlement Class Member shall be deemed to have and by operation of law and of the Judgment shall have, expressly waived and relinquished, to the fullest extent permitted by law, any and all provisions, rights and benefits conferred by California Civil Code §1542, or any law of any state or territory of the United States, or principle of common law or of international or foreign law, which is similar, comparable, or equivalent to Cal. Civ. Code §1542, which provides:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the debtor or released party.



The Released Parties may hereafter discover facts in addition to or different from those that he, she, or it now knows or believes to be true with respect to the subject matter of Released Claims or Released Defendants' Claims, but they stipulate and agree that, upon the Effective Date of the Settlement, the Released Parties shall expressly waive and by operation of the Judgment, or Alternative Judgment, if applicable, shall have, fully, finally, and forever settled and released, any and all Released Claims or Released Defendants' Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, that now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct that is negligent, intentional, with or without malice, or a breach of fiduciary duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. The Parties acknowledge, and each of the Settlement Class Members shall be deemed by operation of law to have acknowledged, that the foregoing waiver was separately bargained for and a key element of the Settlement.

8. These releases shall be of no force or effect unless and until the Court approves the Stipulation and the Settlement becomes effective on the Effective Date.

9. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any claim or matter released pursuant to this release or any other part or portion thereof.

10. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases, acquisitions, and sales of Alphabet Class A and/or Class C stock during the Settlement Class Period and the number of Alphabet Class A and/or Class A and/or Class C shares held by me (us) at the close of trading on April 22, 2018, April 30, 2019, and July 26, 2019.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Executed this day of	in
(Month/Year)	(City/State/Country)
(Sign your name here)	(Sign your name here)
(Type or print your name here)	(Type or print your name here)
(Capacity of person(s) signing, <i>e.g.</i> , Beneficial Purchaser or Acquirer, Executor or Administrator)	(Capacity of person(s) signing, <i>e.g.</i> , Beneficial Purchaser or Acquirer, Executor or Administrator)

ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME. THANK YOU FOR YOUR PATIENCE.



Reminder Checklist:

- 1. Please sign the above release and declaration.
- 2. If this Claim is being made on behalf of Join Claimants, then both must sign.
- 3. Remember to attach copies of supporting documentation, if available.
- 4. Do not send originals of certificates.
- 5. Keep a copy of your Claim Form and all supporting documentation for your records.
- 6. If you desire an acknowledgment of receipt of your Proof of Claim, please send it Certified Mail, Return Receipt Requested.
- If you move after submitting this Claim Form, please notify the Claims Administrator of the change in your address, otherwise you may not receive additional notices or payment.
- 8. **Do not use red pen or highlighter** on the Proof of Claim or supporting documentation. You must use Black or Blue Ink or your claim may be deemed deficient.

THIS CLAIM FORM MUST BE SUBMITTED ONLINE OR MAILED NO LATER THAN JULY 25, 2024, ADDRESSED AS FOLLOWS:

Alphabet Securities Settlement Claims Administrator c/o Gilardi & Co. LLC P.O. Box 301175 Los Angeles, CA 90030-1175 Online submissions: www.AlphabetSecuritiesSettlement.com

